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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:	Kensil et al.	Confirmation No.:	9606
Serial No.:	10/679,708	Art Unit:	1644
Filed:	October 3, 2003	Examiner:	Kim, Yunsoo
For:	NOVEL COMPOSITIONS OF SAPONIN ADJUVANTS AND EXCIPIENTS	Attorney Docket No.:	8449-322-999

SUBMISSION OF SUBSTITUTE LIST OF REFERENCES CITED BY APPLICANT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

It has come to the attention of Attorneys for Applicants that there was a typographical error in the List of References Cited by Applicants that was filed on January 12, 2007 in connection with the above-identified application. In particular, the publication number of Reference B11, JP 06343419A, was incorrectly listed as JP 0644319A, although a copy of the correct reference was enclosed with the Information Disclosure Statement. Accordingly, Applicants submit herewith a Substitute List of References Cited by Applicant with the corrected foreign patent document number for Reference B11 listed thereon.

Applicants respectfully request that the Examiner review the listed references and that the references be made of record in the file history of the application.

Pursuant to 37 C.F.R. § 1.97 (b)(4), Applicants estimate that no fee is due in connection with the filing of this Substitute List of References Cited by Applicant.

However, should the Patent Office determine otherwise, please charge the necessary fee to Jones Day Deposit Account No. 50-3013.

Respectfully submitted,

Date: February 15, 2007

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# SUBSTITUTE LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

8449-322-999

APPLICATION NO.

10/679,708

APPLICANT

Kensil et al.

FILING DATE

October 3, 2003

ART UNIT

1644

## U.S. PATENT DOCUMENTS

*Examiner Initials		Document Number	Date mm/dd/yy	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A06	4,806,350	02/21/1989	Gerber	
	A07	5,650,398	07/22/1997	Soltysik et al.	

## FOREIGN PATENT DOCUMENTS

*Examiner Initials		Foreign Patent Document Country Code, Number, Kind Code (if known)	Date mm/dd/yy	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
	B05	WO 91/04052	04/04/1991	Peptide Technology Limited		
	B06	WO 96/20008	07/04/1996	Solvay		
	B07	WO 98/15287	04/16/1998	SmithKline Beecham Biologicals S.A.		
	B08	EP 0 187 286	07/16/1986	Behringwerke AG		
	B09	EP 0 884 056	12/16/1998	SmithKline Beecham Biologicals S.A.		
	B10	GB 1 083 815	09/20/1967	Wellcome Foundation		
	B11	JP 06 343419 A	12/20/1994	Maintsu KK Dr		

## NON PATENT LITERATURE DOCUMENTS

*Examiner Initials		Include name of the author (in CAPITAL LETTERS), (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T

NYI-3943958v2

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.